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07 20-01

PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b).

Attorney Docket No. ADVEC10CA  
First Inventor Graham et al.  
Title RECOMBINASE-BASED SYSTEM FOR  
CONSTRUCTION OF ADENOVIRUS VECTORS  
Express Mail Label No. ET112635746US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 52]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 25]
5. ☐ Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/263,650

Prior application information: Examiner Schwartzman, R. Group Art Unit: 1636

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name Timothy H. Van Dyke  
Address Bencen & Van Dyke, P.A., 1630 Hillcrest Street, Orlando, Florida 32803 USA  
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

By Express Mail No: ET112635746US Date 7-19-2001

|                   |                     |                                   |           |
|-------------------|---------------------|-----------------------------------|-----------|
| Name (Print/type) | Timothy H. Van Dyke | Registration No. (Attorney/Agent) | 43218     |
| Signature         |                     | Date                              | 7-19-2001 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 710.00)

Attorney Docket No.

ADVEC10CA

## Complete if Known

Application Number

Not available

Filing Date

7/19/2001

First Named Inventor

Graham *et al.*

Examiner Name

Unknown

Group Art Unit

Unknown

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
  
Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee<br>Code | Large Entity<br>Fee<br>(\$) | Small Entity<br>Fee<br>Code | Small Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|------------------------|----------|
| 101                         | 710                         | 201                         | 355                         | Utility filing fee     | 710.00   |
| 106                         | 320                         | 206                         | 160                         | Design filing fee      |          |
| 107                         | 490                         | 207                         | 245                         | Plant filing fee       |          |
| 108                         | 710                         | 208                         | 355                         | Reissue filing fee     |          |
| 114                         | 150                         | 214                         | 75                          | Provisional filing fee |          |

SUBTOTAL (1) (\$ 710.00)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from<br>Below | Fee Paid |
|--------------------|--------------|-------------------|----------|
| 13                 | -20**= 0     | 18.00             | = 0.00   |
| 3                  | -3**= 0      | 80.00             | = 0.00   |
| Multiple Dependent |              |                   |          |

| Large Entity<br>Fee<br>Code | Large Entity<br>Fee<br>(\$) | Small Entity<br>Fee<br>Code | Small Entity<br>Fee<br>(\$) | Fee Description   |
|-----------------------------|-----------------------------|-----------------------------|-----------------------------|---|
| 103                         | 18                          | 203                         | 9                           | Claims in excess of 20                                    |
| 102                         | 80                          | 202                         | 40                          | Independent claims in excess of 3                         |
| 104                         | 270                         | 204                         | 135                         | Multiple dependent claim, if not paid                     |
| 109                         | 80                          | 209                         | 40                          | **Reissue independent claims over original patent         |
| 110                         | 18                          | 210                         | 9                           | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$0.00)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------|--------------------------------|-------------|--------------------------------|--|----------|
| 105         | 130                            | 205         | 65                             | Surcharge - late filing fee or oath  |          |
| 127         | 50                             | 227         | 25                             | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139         | 130                            | 139         | 130                            | Non-English specification  |          |
| 147         | 2,520                          | 147         | 2,520                          | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112         | 920*                           | 112         | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113         | 1,840*                         | 113         | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115         | 110                            | 215         | 55                             | Extension for reply within first month                                     |          |
| 116         | 390                            | 216         | 195                            | Extension for reply within second month                                    |          |
| 117         | 890                            | 217         | 445                            | Extension for reply within third month                                     |          |
| 118         | 1,390                          | 218         | 695                            | Extension for reply within fourth month                                    |          |
| 128         | 1,890                          | 228         | 945                            | Extension for reply within fifth month                                     |          |
| 119         | 310                            | 219         | 155                            | Notice of Appeal   |          |
| 120         | 310                            | 220         | 155                            | Filing a brief in support of an appeal                                     |          |
| 121         | 270                            | 221         | 135                            | Request for oral hearing   |          |
| 138         | 1,510                          | 138         | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140         | 110                            | 240         | 55                             | Petition to revive - unavoidable   |          |
| 141         | 1,240                          | 241         | 620                            | Petition to revive - unintentional   |          |
| 142         | 1,240                          | 242         | 620                            | Utility issue fee (or reissue)   |          |
| 143         | 440                            | 243         | 220                            | Design issue fee   |          |
| 144         | 600                            | 244         | 300                            | Plant issue fee  |          |
| 122         | 130                            | 122         | 130                            | Petitions to the Commissioner  |          |
| 123         | 50                             | 123         | 50                             | Processing fee under 37 CFR 1.17(q)  |          |
| 126         | 180                            | 126         | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581         | 40                             | 581         | 40                             | Recording each patent assignment per property (times number of properties) |          |
| 146         | 710                            | 246         | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149         | 710                            | 249         | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179         | 710                            | 279         | 355                            | Request for Continued Examination (RCE)                                    |          |
| 169         | 900                            | 169         | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$0.00)

## SUBMITTED BY

Name (Print/Type)

Timothy H. Van Dyke

Signature


Registration No.  
(Attorney/Agent)

43218

## Complete (if applicable)

Telephone

407-228-0328

Date

7-19-2001

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